

09-15-00

A

09/14/00  
jc925 U.S. PTO

PATENT APPLICATION  
Docket No.: 47253-00012  
P199901226 US HJV/HEH

jc862 U.S. PTO  
09/661677  
09/14/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: Anders KHULLAR et al.

For: A METHOD AND AN APPARATUS FOR ESTIMATING RESIDUAL NOISE IN A SIGNAL AND AN APPARATUS UTILIZING THE METHOD

CERTIFICATE OF MAILING BY EXPRESS MAIL

"EXPRESS MAIL" Mailing Label No. EJ524957554US

Date of Deposit: SEPTEMBER 14, 2000

I hereby certify that the paper(s) and/or fee(s) listed below are attached hereto and are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

Type or Print Name: DOROTHY MACKINNON

*Dorothy MacKinnon*

Signature

BOX PATENT APPLICATION  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find the following:

- (XX) The specification of the above-referenced patent application is enclosed herewith ( 26 page(s) including claim(s) and Abstract).
- (XX) 3 sheet(s) of:  
   informal drawing(s) is (are) enclosed herewith.  
  X   formal drawing(s) is (are) enclosed herewith.
- ( ) This application is a:  
   Continuation  
   Divisional  
   Continuation-In-Part  
of prior copending parent application  
Serial No.            filed on           , now pending.  
Please amend the application to insert the following line in the beginning of the specification:  
--This application is a Continuation of prior application Serial No.            filed on           , now pending.--  
In the event that a petition to extend time under 37 CFR 1.136 is necessary in the parent application to maintain copendency for this application, a petition for an extension of the necessary time to maintain copendency is hereby requested for the parent application and the Commissioner is hereby authorized to debt our Account Number 10-0447 for the necessary fees.

Dallas2 715399 v 1, 47253 00012

1

09661677 091400

Variable	Mean	SD	Min	Max
Age	34.5	10.2	22	55
Gender	0.5	0.5	0	1
Marital Status	0.6	0.5	0	1
Education	12.5	1.5	10	16
Income	3500	1500	1000	8000
Health Status	0.7	0.4	0	1
Stress Level	4.5	1.5	1	7
Life Satisfaction	5.5	1.5	1	9
Work-Life Balance	6.5	1.5	1	9
Family Support	7.5	1.5	1	9
Community Involvement	3.5	1.5	1	7
Personal Growth	6.5	1.5	1	9
Overall Well-being	6.5	1.5	1	9

X A check(s) in the amount of \$ 1040.00 is enclosed herewith.  
Please charge any deficiency or credit any overpayment to  
Deposit Account No. 10-0447.

       Please charge my Deposit Account No.            in the amount  
of \$           . Please charge any deficiency or credit any  
overpayment to Deposit Account No.           .

X Unsigned  
 \_\_\_\_\_ Newly executed per 37 CFR 1.63(a) and (b).  
 \_\_\_\_\_ A copy of the executed declaration filed in the prior  
 application upon which priority is based, showing the  
 signature or an indication thereon that it was signed; and:  
 \_\_\_\_\_ This application is being filed fewer than all of the  
 inventors named in the prior application and it is  
 requested that the following name or names be deleted  
 from the list of inventors in the prior application for  
 this continuation or divisional application:

— A copy of the decision granting a petition to accord Sec. 1.47 status to the prior application (unless all of the inventors have or legal representatives have filed an oath or declaration to join in the prior application).

Dallas2 715399 v 1, 47253.00012

representative(s) that have subsequently joined  
in the prior application.

6. (XX) The power of attorney for this application:  
\_\_\_ is appointed in the newly executed Oath or Declaration  
submitted herewith.  
X is appointed by the power of attorney enclosed herewith.  
\_\_\_ remains the same as originally in the parent application.  
\_\_\_ was changed during the prosecution of the parent application  
and a copy of the change in the power of attorney is enclosed  
herewith.

7. (XX) The correspondence address for this application shall be:  
Richard J. Moura, Esq.  
Jenkins and Gilchrist, P.C.  
3200 Fountain Place  
1445 Ross Ave.  
Dallas, Texas 75202  
X which is a new correspondence address or a change therein.  
\_\_\_ which is the same as originally in the parent application.  
\_\_\_ which is the change in the correspondence address that was  
filed during the prosecution of the parent application.

8. (XX) Priority is hereby claimed under 35 USC 119 and 172 to the following  
foreign applications:

Country	Serial No.	Date
EPO	99 610 054.1	Sept. 17, 1999
_____	_____	_____
_____	_____	_____

and:

- \_\_\_ A certified copy of each application is enclosed herewith.  
\_\_\_ A certified copy of each application was filed in prior  
application Serial No. \_\_\_\_\_.

9. ( ) A verified statement claiming small entity status under 37 CFR 1.9  
and 1.27:  
\_\_\_ is enclosed herewith.  
\_\_\_ was filed in parent application Serial No. \_\_\_\_\_, and  
such status remains unchanged and is requested for this  
application.

10. ( ) A preliminary amendment is enclosed herewith.

11. (XX) An Information Disclosure Statement with Modified PTO Form 1449 and  
a copy of the cited references are enclosed herewith.

12. ( ) An Assignment of the invention to \_\_\_\_\_  
with cover sheet and recordation fee is enclosed herewith for  
recordation by the Assignment Branch.

13. (XX) The Commissioner is hereby authorized to charge payment, or to  
credit any overpayment, of the following fees associated with this  
filing or during the pendency of this application to Deposit Account  
No. 10-0447.

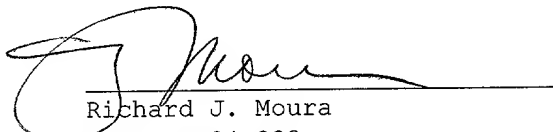
X Any patent application filing fees under 37 CFR 1.16.  
X Any patent application processing fees under 37 CFR 1.17.

— The issue fee under 37 CFR 1.18 at or before mailing of the  
Notice of Allowance, pursuant to 37 CFR 1.311(b).

14. ( ) Other (specify): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

15. (XX) Confirmation Postcard.

Respectfully submitted,

  
Richard J. Moura  
Reg. No. 34,883

Jenkins & Gilchrist, P.C.  
3200 Fountain Place  
1445 Ross Avenue  
Dallas, Texas 75202-2799  
214/855-4709  
214/855-4300 (Fax)

004750" 429T9950

EL524957554US

A method and an apparatus for estimating residual noise  
in a signal and an apparatus utilizing the method

5   **The Technical Field of the Invention:**

10   This invention relates to the estimation of residual  
noise in a signal. The invention may e.g. be applied for  
the estimation of link quality in a digital radio  
communications system.

15   The invention relates specifically to: A method for  
estimating residual noise in the frequency range of a  
desired part of a signal.

20   The invention furthermore relates to: An apparatus for  
estimating residual noise in the frequency range of a  
desired part of a signal.

25   The invention moreover relates to: A mobile telephone  
utilizing the method.

**Description of Related Art:**

30   The following account of the prior art relates to one of  
the areas of application of the present invention,  
cellular digital radio communications systems.

35   Technologies for evolving cellular systems from being  
dominated by voice telephony to systems being capable of  
handling large amounts of data as well are being  
developed. One of the requirements of next-generation  
cellular systems is the ability to provide mobile access  
to the Internet using World Wide Web (WWW) applications,

09561577-093400

in other words to facilitate 'high speed' packet oriented data transfer.

One such so-called 3<sup>rd</sup> generation system, is the EDGE system (EDGE = Enhanced Data rates for Global TDMA Evolution, where TDMA = Time Division Multiple Access (or alternatively EDGE = Enhanced Data rates for GSM Evolution)). The EDGE system represents a convergence of the US TDMA system called TIA/EIA-136 and the European GSM system making possible the provision of wireless TDMA-based high data rate packet services for mobile users. A standard based on the EDGE concept, termed UWC-136, has been developed/approved by the European Telecommunications Standards Institute (ETSI) and by the Telecommunications Industry Association (TIA) in North America. Other 3<sup>rd</sup> generation systems, based on CDMA (Code Division Multiple Access), are likewise in the development phase: cdma2000 (specified by the (TIA)) and WCDMA (specified by ETSI). Under the name IMT-2000 (IMT = International Mobile Telecommunications) these three systems constitute the technology platforms of the International Telecommunications Union (ITU) for 3<sup>rd</sup> generation mobile communications systems.

On the air interface, GSM (and EDGE) relies on a combination of TDMA and Frequency Division Multiple Access (FDMA) in that a multitude of time slots (8 time slots = 1 TDMA frame) is mapped on a multitude of carrier frequencies (for GSM, 125).

30

In the first generation GSM system a data rate of 9.6 kbit/s based on circuit switching was provided using GMSK channel modulation (GMSK = Gaussian Minimum Shift Keying), each channel having a spacing between carriers of 200 kHz. The General Packet Radio Service (GPRS) for GSM constitutes an intermediate step in the development

path towards providing high speed packet mode data transfer for mobile users. GPRS provides peak user data rates between 9 kbit/s and 170 kbit/s by means of time slot concatenation (up to 8) and variable channel coding (4 different). The GPRS protocol and service capabilities are reused in the EDGE system. The EDGE concept improves the data rates, among other things by means of higher level channel modulation and a higher symbol rate. Using 8-PSK modulation (PSK = Phase-Shift Keying), a symbol rate of 3 bits per modulated symbol, and multislot operation, a three-fold increase in user bit rate compared to GPRS may be achieved. A data rate of e.g. 384 kbit/s may be achieved with the same 200 kHz carrier spacing and TDMA frame structure and by combining several (up to 8) time slots. The improvement in data rate comes at the cost of a greater sensibility to noise, including disturbances from adjacent channels (adjacent channel interference). The maximum achievable data rate will generally depend on mobile speed and system load.

A digital radio receiver can be regarded as an analogue radio front-end, followed by an AD-converter. A cellular, digital radio system such as GSM or EDGE consists of several frequencies, channels, within the allocated frequency band. For communication, the selected channel needs to be filtered out and it is an advantage to do this in the digital domain. Digital filters are easy to reprogram, inexpensive and do not have filter parameters varying with temperature and voltage.

For a GSM channel using GMSK modulation it is OK to filter hard (i.e. e.g. to use a filter with a slightly narrower bandwidth than the 200 kHz carrier spacing of a GSM channel). This is, however, not the case for a corresponding EDGE channel using 8-PSK modulation and an EDGE receiver will consequently have more problems with

adjacent channel interference compared to an ordinary GSM receiver. This type of modulation will also be more sensitive to noise than for example GMSK. Thus: A compromise must be made between the wish to have a  
5 broadbanded filter to include all information and the wish to avoid noise from adjacent channels.

Consequently, a system such as EDGE will depend on an LQC system (LQC = Link Quality Control) to optimise system  
10 throughput.

The Quality of Service (QoS) of a digital wireless communications system may be largely related to three areas, namely call handling quality, communication  
15 quality and coverage quality. The present invention, when used in a cellular communications system, is primarily related to communication quality, i.e. to such effects as radio channel impairment, transmission delay, echo, background noise, etc. For data transmission, the  
20 communication quality may be quantified based on bit error rate and transmission delay. For packet oriented data transmission, bit error rate can be kept low by means of retransmission, resulting, however, in increasing delay and decreasing throughput. To optimize  
25 channel throughput, it is therefore of great importance to be able to (dynamically) evaluate the channel quality, and, based hereon, to adjust the essential transmission parameters to the quality of the radio channel.

30 The measurement and reporting of the current link quality are thus most critical tasks that need to be carefully addressed. A report of the presence of a strong interferer would impact the data transmission rate, the amount of error correction overhead, or ultimately result  
35 in the choice of a more robust modulation or coding scheme (e.g. from 8-PSK to GMSK channel modulation).



**Summary:**

It is an object of the present invention to generate a measure for the residual noise in a signal.

5

This is achieved according to the invention in that the amplitude of the signal comprising the noise is modified, and the signal is combined with the modified signal to create a noise estimation measure, as disclosed in claim 1.

10

In the present context the term 'noise' is taken to mean random noise as well as disturbances from other sources. When referred to the application of the invention in a cellular communications system, the term 'noise' includes disturbances from adjacent channels (adjacent channel interference) or from channels using the same channel frequency as the 'selected' or 'desired' one in another cell (co-channel interference).

15  
20

The residual noise estimate is based on the assumption that the amount of signal components present in the frequency ranges outside that of the desired frequency range (e.g. that of the selected channel of a cellular communications system) is a measure for the amount of 'noise' present inside the desired frequency range. The usefulness of the resulting noise measure will in general depend on the structure of the signal. For signals representing the potentially overlapping channels of a communications system and the like, where disturbances from 'adjacent channels' are of major concern, the measure is obtained in a simple way and very useful as an indicator of channel quality.

25  
30

When, as disclosed in claim 2, the noise estimation measure is based on the average power content of the

35

004760 299950

signal and the modified signal over their frequency spectra, it is ensured that a simple measure for comparing the signals based on well known measurement techniques is provided. Alternatively, the noise  
5 estimation measure could be based on the gradient of average power (i.e. the changes over time) of the signals in question or on any other appropriate method.

When, as disclosed in claim 3, the noise estimation  
10 measure is based on the average power content of the signal and the modified signal over one or more common ranges of their frequency spectra, it is ensured that a simple measure for comparing the signals is provided, and that the measurement may be concentrated to a relevant  
15 part of the frequency range, e.g. the frequency range outside the desired part of the signal.

When, as disclosed in claim 4, the signal is attenuated primarily outside the frequency range of the desired part  
20 of the signal, it is ensured that the modification of the signal outside the desired frequency range may be performed in a simple way e.g. by using a filter. The attenuation may e.g. be achieved by means of a bandpass filter that passes the desired frequency range (ideally)  
25 unchanged and attenuates all other frequencies. Or, alternatively, it may consist of a low-pass filter, if the desired frequency range is located from a certain frequency and downwards, or of a high-pass filter, if the desired frequency range is located from a certain  
30 frequency and upwards. The modification may, however, alternatively take any other appropriate form. It may e.g. consist of an amplification of the signal outside the desired frequency range e.g. by means of one or more frequency selective amplifiers tuned to the relevant  
35 frequencies.

004760 2979960

When, as disclosed in claim 5, the noise estimation measure is based on the difference in average power content between the signal and the modified signal, it is ensured that a simple method for comparing the signals is provided.

When, as disclosed in claim 6, the signal is a digital signal, it is ensured that the measure and the following processing are more stable over time and against environmental influences, which further promises better performance and lower equipment production costs than corresponding functionality implemented in traditional analogue techniques.

When, as disclosed in claim 7, the signal is attenuated primarily outside the frequency range of the desired part of the signal by means of a digital filter, it is ensured that a cost-effective and flexible solution that is readily adapted to changes is achieved.

When, as disclosed in claim 8, the noise estimation measure is quantized in a number of different levels each indicating different levels of noise present, it is ensured that the measure may be used to quantify the amount of undesired noise in the signal.

When, as disclosed in claim 9, the desired part of the signal represents a selected channel of a digital cellular radio system, and the noise estimation measure or a postprocessed version thereof is communicated to a link quality control system of said digital cellular radio system as an estimator of current link quality, it is ensured that a simple measure for the current link quality is provided and fed back to the transmission system. Other advantages of the present invention are that the implementation is potentially low-cost and that

09561977-091400  
a link quality estimate is provided at an 'early stage' of the signal path, i.e. before the signal is demodulated and further processed in the digital demodulator. This allows a fast response to changing transmission  
5 conditions allowing a dynamic adaptation of system throughput to current link quality.

When, as disclosed in claim 10, a noise estimation measurement is performed during each of the basic time  
10 units (i.e. time slot or burst) of a channel of the digital cellular radio system, and the result is communicated to a link quality control system of the digital cellular radio system as an estimator of current link quality, it is ensured that the link quality may be  
15 continuously monitored and corresponding system actions may be taken instantaneously.

When, as disclosed in claim 11, several noise estimation measurements are performed, the results are stored, and  
20 the results are evaluated, and a derived trend is communicated to a link quality control system of a digital cellular radio system as an estimator of current link quality, it is ensured that a trend in the link quality may be derived and reported.

25 When, as disclosed in claim 12, the noise estimation measure transferred to the link quality control system is used by the digital cellular radio system to optimize user information channel throughput by adjusting the data  
30 transmission rate, the error correction depth and/or the type of modulation, it is ensured that an optimal user throughput considering the current link quality may be achieved.

35 When, as disclosed in claim 13, the noise estimation measure is transferred to the digital demodulator and

used to adjust the receiver algorithm, it is ensured that an optimization of receiver processing and thus power consumption may be achieved.

5 An apparatus for estimating residual noise in the frequency range of a desired part of a signal is furthermore provided by the present invention. When, as disclosed in claim 14, it includes means for modifying the amplitude of the signal comprising the noise, and  
10 means for combining the signal with the modified signal to create a noise estimation measure, and means for transferring the measure to a processing unit, it is ensured that a simple measure for the residual noise in a signal is provided.

15 When, as disclosed in claim 15, the means for combining the signal with the modified signal to create a noise estimation measure comprise a power meter for measuring average power content of the signal and the modified  
20 signal over one or more common ranges of their frequency spectra, it is ensured that a simple measure for comparing the signals is provided and that the measurement may be concentrated to a relevant part of the frequency range, e.g. the frequency range outside the  
25 desired part of the signal

When, as disclosed in claim 16, the means for modifying the amplitude of the signal comprising the noise include means for attenuating the signal primarily outside the  
30 frequency range of the desired part of the signal, it is ensured that the modification of the signal outside the desired frequency range may be performed in a simple way, e.g. by using a filter.

35 When, as disclosed in claim 17, the means for combining the signal with the modified signal to create a noise

09661677 091400

estimation measure comprise means for computing the difference in average power content between the signal and the modified signal, it is ensured that a simple way of providing the noise estimation measure is achieved.

5

When, as disclosed in claim 18, it is adapted to handle digital signals, it is ensured that the measure and the following processing steps are more stable over time and against environmental influences, which further promises better performance and lower equipment production costs than corresponding functionality implemented in traditional analogue techniques.

When, as disclosed in claim 19, the means for attenuating the signal primarily outside the frequency range of the desired part of the signal comprise a digital filter, it is ensured that a cost-effective and flexible solution that is readily adapted to changes is achieved.

When, as disclosed in claim 20, it includes means for storing consecutive values of the noise estimation measure, and means for processing the values to extract a trend, and means for communicating the individual values or the extracted trend or postprocessed versions hereof to a quality control system, it is ensured that an appropriate postprocessing of the noise estimation measure may be performed readily. The processing means may e.g. include means for quantizing the noise estimation measure in a number of different levels, i.e. to grade a given measurement value on an appropriate scale according to the expected dynamic range of the values.

A mobile telephone is moreover provided by the present invention. When, as disclosed in claim 21, it contains an apparatus, comprising means for performing the steps of

claim 1, for estimating residual noise in the frequency range of a desired part of a signal, and means for storing, evaluating and transmitting resulting noise estimation measurements or postprocessed versions thereof to a link quality control system of a cellular radio system, it is ensured that the cellular radio system is provided with a link quality estimate that may be used to optimize the user throughput.

When, as disclosed in claim 22, it is adapted to perform the noise estimation measurement during each of the basic time units (i.e. time slot or burst) of a channel of the digital cellular radio system, it is ensured that the link quality may be monitored continuously and corresponding system actions may be taken instantaneously.

#### Brief Description of the Drawings:

The invention will be explained more fully below in connection with a preferred embodiment and with reference to the drawings in which:

fig. 1 shows the front-end of a receiver for a mobile telephone of a cellular digital radio communications system according to the invention,

fig. 2 shows an idealized sketch of the frequency dependence of the received power of the signal before and after the digital filter, and

fig. 3 shows a part of a front-end of a receiver for a mobile telephone of a cellular digital radio communications system according to the invention.

### Detailed Description of Embodiments:

Fig. 1 shows the front-end of a receiver for a mobile telephone of a cellular digital radio communications system according to the invention.

In fig. 1, 101 denotes the receiver antenna (optionally used together with the transmitter part via a duplexer), and 110 denotes the received radio frequency signal. The received signal 101 is fed to an RX-band filter 102 that filters out the relevant received frequency band, delivering the signal 111 containing the frequency band allocated to the cellular system in question, e.g. the GSM frequency band around 900 MHz or the IMT-2000 frequency band allocated around 1950 MHz. The signal 111 is fed to an analogue demodulator 103 that mixes the relevant part of the frequency band down in the baseband, using a system-given channel frequency 109 for the down-conversion. The output signal 112 from the analogue demodulator 103 is fed to an analogue filter 104 that filters out the frequencies around the relevant channel, resulting in the signal 113. The signal 113 contains noise and disturbances from adjacent channels. An AD-converter 105 (AD = Analogue to Digital) converts the signal 113 to the digital domain in the form of the signal 114. A digital filter 108 filters out the relevant channel 115 from the input signal 114. Using the digital signals before and after the digital filter 108, i.e. signals 114 and 115 as inputs, the Link Quality Estimator 106 measures the difference in average power content between the two input signals and outputs a signal 116 to the signal processing unit 107. The measure 116 represents an indicator of residual noise in the frequency range of the desired signal 115.



The measure 116 may be further processed by the signal processing unit 107. As a result, a message 117 representing the current link quality (or a trend herein) is forwarded to the transmission part 118 of the mobile unit and transmitted to the Link Quality Control system of the digital radio communications system, i.e. to the base station presently allocated to the mobile telephone.

Fig. 2 shows an idealized sketch of the frequency dependence of the received power of the signal before and after the digital filter. The frequency axis is denoted by 260. Although the relevant signals 114 and 115 of fig. 1 are digital, a frequency dependence of the power content corresponding to their analogue representations is shown for illustrative purposes.

The input signal to the digital filter 114 of fig. 1 is schematically depicted in fig. 2.a. The signal contains noise (not indicated) and signal components 230, 250 from adjacent channels, whose signal power is primarily located in the frequency ranges 270 and 272, in addition to the desired signal components 240 of the selected channel 271, i.e. there is undesired amplitude at frequencies outside as well as inside the frequency range of the desired/selected channel 271. The sketch represents a situation with a strongly disturbing neighbouring signal 230 and a moderately disturbing neighbouring signal 250. The grey shaded areas in fig. 2.a represent the frequency ranges where an overlap with the adjacent channels is present. After (non-ideal) filtering of the signal 114 of fig. 1, the resulting signal 115 of fig. 1 primarily has signal amplitude 241 in the frequency range 271 of the desired signal, as schematically illustrated in fig. 2.b (where the signal amplitudes outside the range 271 (mainly components 231 and 251) are somewhat exaggerated for illustrative

purposes). However, a part of the amplitude of the signal in the desired frequency range 271 originates from noise and/or adjacent channels, as indicated by the shaded area 280. By measuring the average power of signal 115 of fig. 1 and subtracting it from the average power of signal 114 of fig. 1 (both taken over the entire frequency range of signal 114) we get a measure for the 'noise' (i.e. undesired signal components) in the desired frequency range 271. This is illustrated in fig. 2.c, where the sum of the shaded areas 232 and 252 represents the measure. I.e. we assume that the amount of signal components present in the frequency ranges 270, 272 outside that of the selected channel is a measure for the amount of 'noise' (i.e. primarily undesired signal components from adjacent channels) present inside the frequency range 271 of the selected channel. The usefulness of the resulting noise measure will in general depend on the structure of the signal 114. For signals representing the potentially overlapping channels of a communications system and the like, the measure is certainly very useful.

After filtering, the received signal consists of the signal from the selected channel and additional noise from adjacent channels, not removed by the non-ideal filters (cf. fig. 2.b). The relation in strength between the selected channel and adjacent channels will determine the amount of residual adjacent channel noise. This relation will influence the receiver performance.

An estimation of the adjacent channel residual noise will therefore also be useful in the following digital signal processing (block 107 of fig. 1). Receiver algorithms may be tailored to match the interference presence in each single burst.

The measurements of the average power of the signals 114 and 115 of fig. 1 are performed over the frequency range where the signal 114 has a significant amplitude, i.e. ranges 270, 271 and 272 in fig. 2. In a special  
5 embodiment of the invention, the frequency range of measurement is restricted to that outside the selected channel (i.e. to the ranges 270, 272). This has the advantage of eliminating the attenuation of the filter in the desired frequency range 271 from the measurement.

10

The output signal 116 of fig. 1 is quantized in the Link Quality Estimator block 106 and takes on one of a relevant number of levels, e.g. 8, in which the range of the possible results is subdivided. The 'scale' of the  
15 levels may be linear or logarithmic dependent on the dynamic range of the measurement results. The interpretation of the measurements is as follows: A relatively large absolute difference in average power content represents a relatively large residual noise and hence a relatively low link quality estimate, whereas a relatively small absolute difference in average power content represents a relatively small residual noise and hence a relatively high link quality estimate. This may be illustrated by fig. 2.c, where the shaded area 232,  
20 taken alone, represents a relatively large absolute difference in average power content corresponding to a relatively large amount of residual noise in the desired frequency range and correspondingly a relatively low link quality. Similarly, the shaded area 252, taken alone, represents a relatively small absolute difference in  
30 average power content corresponding to a relatively small amount of residual noise in the desired frequency range and correspondingly a relatively high link quality.

35 In an alternative embodiment, a part of the evaluation of the difference in average power content between the two

input signals 114 and 115 of fig. 1 to the Link Quality Estimator 106 is performed in the control unit contained in the processing block 107 (cf. 320 in fig. 3).

- 5 Fig. 3 shows a part of a front-end of a receiver for a mobile telephone of a cellular digital radio communications system according to the invention.

10 In fig. 3, the signal processing unit 107 is subdivided in control unit 320 and digital demodulator 321 blocks. The signal 115 from the digital filter 108 is fed to the digital demodulator 321 for further processing and to the control unit 320 for possible evaluation and extraction of further information concerning link quality in  
15 addition to that of the noise estimation measure signal 116 from the Link Quality Estimator block 106. The control unit 320 includes a Digital Signal Processor (DSP) and memory (e.g. RAM) for the storage of the most recent noise estimation measures, and for the generation  
20 of the link quality estimate 117 that is fed to the transmission part 118 of the mobile telephone for transfer to the Link Quality Control system. In a preferred embodiment of the invention, the functions of the control unit and the digital demodulator are  
25 integrated in one functional block (cf. 107 of fig. 1).

One use of the residual noise measure 116 according to the invention is to adjust the link quality estimate 117 reported and used by the network for optimising system  
30 throughput. This use of the invention would e.g. improve the performance of the LQC concept proposed for the EDGE system.

The digital demodulator 321 demodulates the digital  
35 signal 115 and extracts the user-specific information and control information. The control unit 320 (e.g. a DSP

with internal and possibly extra accessible external memory) evaluates the control information. One part of the control information is extracted from the received signal 115 and possibly transferred from the demodulator 321 via the connection 319, the other is the noise estimation measure 116 transferred from the Link Quality Estimator 106. Based thereon, the control unit 320 performs the relevant actions, e.g. sends a report 117, via the transmit part 118 of the mobile telephone, to the Link Quality Control system concerning the present channel quality or the trend in channel quality. The latter is based on stored values of recent channel quality data. In a preferred embodiment of the invention, the measured noise estimation values are stored and evaluated in the Link Quality Estimator 106. It may alternatively be stored and evaluated in the control unit 320 of the processing unit 107. Based on a number (e.g. 100) of stored values, the trend may be derived by any one of a variety of standard methods, e.g. by simply taking the average value, or by computing the slope of a straight line fitted to the stored measurements (time, value) and evaluating it together with the most recent measurement value, etc.

The link quality estimation data may e.g. be transmitted from the mobile station to its presently allocated base station and hence to the LQC system via one of the logic control channels of the system that carries signalling or synchronization data (as opposed to traffic channels that carry the user speech or data), as symbolized by the signal 117 to the transmit part 118 of the mobile station. In a GSM system, the logic control channels are e.g. termed BCCH (Broadcast Control Channel), CCCH (Common Control Channel), SDCCH (Stand-alone Control Channel), ACCH (Associated Control Channel), the latter having a Slow (SACCH) and Fast (FACCH) version. The SACCH

and FACCH may both be used to transfer signalling data, including e.g. a link quality estimate, during a connection.

- 5 The basic unit of a logic control channel in the GSM and EDGE systems is 184 bits long. To ensure protection against transmission errors, the 184 control bits are mapped onto a 456 bit block by adding check bits and a channel code to provide error correction possibilities.
- 10 The contents of the logical channels are mapped onto and transferred via physical channels. The 456 bit blocks are partitioned in subblocks of 57 bits length, which are interleaved with subblocks of neighbouring 456 bit blocks and mapped onto logical 'bursts' each of 114 bits length.
- 15 By the addition of extra bits for administrative purposes (tail bits and guard period), the logical bursts are mapped onto the physical bursts each of 156.25 bits length (or 577  $\mu$ s) for the actual transmission. This is the basic time slot unit for a GSM or EDGE based system
- 20 onto which all normal and control information is to be mapped.

A physical channel in a TDMA system is defined as a time slot with a time slot number in a sequence of TDMA

25 frames. To protect against fading, frequency hopping may be applied. This means that the physical channel is partitioned in both time and frequency so that each burst is transmitted via a different radio frequency (RF) channel. The physical channel is thus defined as a

30 sequence of RF channels and time slots. Each carrier frequency supports eight physical channels mapped onto eight time slots within a TDMA frame.

Details of the GSM logical traffic and control channels

35 and their mapping onto physical channels are standardized

in GSM Recommendation R.05 dealing with the 'Physical layer on the radio path'.

5 The quality measurements (i.e. the noise detection estimate and its further processing) may be performed in each burst or with a certain frequency, e.g. every TDMA frame (= 8 time slots) or every multiframe (= 26 TDMA frames in a GSM system), etc. Alternatively, the measurement frequency may be made dependent on the measurement results following a predefined algorithm (e.g. relatively high quality measures being associated with relatively low measurement frequencies, the measurement frequencies being confined to a certain min-max-range). Similar patterns may be employed for the reporting of the measure to the Link Quality Control system. The link quality measure may be reported via one of the signalling channels of the cellular communications system. Referring to the GSM system: If a relatively slow frequency of reporting is needed (e.g. in reporting trends) the SACCH signal may e.g. be used (1 SACCH is sent per multiframe, each consisting of 26 TDMA frames, i.e. every 120 ms). If an 'instant' reporting is needed (e.g. when reporting per burst) the FACCH signal may be used. The FACCH is inserted instead of user data using a so-called 'stealing flag' to distinguish signalling data from user data within a burst.

30 In a preferred embodiment of the invention, the report of the current link quality estimates or the trend therein to the Link Quality Control system, is used by the system to decide whether to change parameters concerning the link throughput such as data transmission rate, 'error correction depth' and/or type of modulation (e.g. between 8-PSK and GMSK). This decision may be based on other parameters possibly reported by the mobile station as well, e.g. the received level of the channel carrier, the

absolute distance between the base and mobile stations, the bit error ratio before channel decoding, etc.

Another use of the information represented by the residual noise measure is to introduce ways to compensate each burst by signal processing. Each burst will have an estimation of the interference. This information may be used for:

- \* Fast adaptation of for example receiver filtering properties.
- \* Input data to noise cancellation algorithms.

In a preferred embodiment of the invention, the measurements are performed in each burst and the results are currently reported to the signal processing unit via the connection 319 in fig. 3 and used therein to modify the receiver algorithm to improve receiver performance, e.g. by adjusting the amount of 'data processing' (error correction, etc.) controlled by the link quality estimate. This will enable the use of algorithms, designed to optimize performance at different reception conditions. If e.g. the link quality is good, less complex receiver algorithms may be employed and thus power may be saved. The latter is of particular importance in mobile units such as cellular telephones.

Although the invention has been described in relation to a digital cellular radio communications system using TDMA, it may also be used in all other situations where a measure for the residual noise in a signal is needed, e.g. in other communications systems, be it CDMA based systems, frequency hopping or non frequency hopping systems, analogue systems, etc.



**Claims:**

1. A method for estimating residual noise in the  
5 frequency range (271) of a desired part (240) of a  
signal,  
c h a r a c t e r i z e d in that  
the amplitude of the signal (114) comprising the noise is  
modified, and the signal (114) is combined with the  
10 modified signal (115) to create a noise estimation  
measure (116).
2. A method according to claim 1,  
c h a r a c t e r i z e d in that  
15 the noise estimation measure (116) is based on the  
average power content of the signal (114) and the  
modified signal (115) over their frequency spectra (270,  
271, 272).
- 20 3. A method according to claim 2,  
c h a r a c t e r i z e d in that  
the noise estimation measure (116) is based on the  
average power content of the signal (114) and the  
modified signal (115) over one or more common ranges  
25 (270; 271; 272) of their frequency spectra.
4. A method according to any one of claims 1 to 3,  
c h a r a c t e r i z e d in that  
the signal (114) is attenuated primarily outside (270,  
30 272) the frequency range (271) of the desired part (240)  
of the signal.
5. A method according to any one of claims 2 to 4,  
c h a r a c t e r i z e d in that

004T50" 29T9960

the noise estimation measure (116) is based on the difference in average power content (232, 252) between the signal (114) and the modified signal (115).

5 6. A method according to any one of claims 1 to 5, characterized in that the signal (114) is a digital signal.

10 7. A method according to any one of claims 4 to 6, characterized in that the signal (114) is attenuated primarily outside (270, 272) the frequency range (271) of the desired part (240) of the signal (114) by means of a digital filter (108).

15 8. A method according to any one of claims 1 to 7, characterized in that the noise estimation measure (116) is quantized in a number of different levels each indicating different levels of noise present.

20 9. A method according to any one of claims 1 to 8, characterized in that the desired part (240) of the signal (114) represents a selected channel of a digital cellular radio system, and  
25 the noise estimation measure (116) or a postprocessed version thereof (117) is communicated to a link quality control system of said digital cellular radio system as an estimator of current link quality.

30 10. A method according to claim 9, characterized in that a noise estimation measurement is performed during each of the basic time units (i.e. time slot or burst) of a channel of the digital cellular radio system, and the  
35 result (116; 117) is communicated to a link quality

09661677.091400

control system of the digital cellular radio system as an estimator of current link quality.

11. A method according to any one of claim 9 or 10,  
5 c h a r a c t e r i z e d in that  
several noise estimation measurements are performed, the results are stored, and the results are evaluated, and a derived trend is communicated to a link quality control system of a digital cellular radio system as an estimator  
10 of current link quality.

12. A method according to any one of claims 9 to 11,  
c h a r a c t e r i z e d in that  
the noise estimation measure transferred to the link  
15 quality control system is used by the digital cellular radio system to optimize user information channel throughput by adjusting the data transmission rate, the error correction depth and/or the type of modulation.

13. A method according to any one of claims 9 to 12,  
c h a r a c t e r i z e d in that  
the noise estimation measure is transferred to a digital demodulator (321) and used to adjust the receiver  
20 algorithm.

14. An apparatus for estimating residual noise in the frequency range of a desired part of a signal,  
c h a r a c t e r i z e d in that  
it includes means (108) for modifying the amplitude of  
30 the signal (114) comprising the noise, and means (106) for combining the signal (114) with the modified signal (115) to create a noise estimation measure (116), and means (106) for transferring the measure to a processing unit (107).

15. An apparatus according to claim 14,

c h a r a c t e r i z e d in that  
the means (106) for combining the signal (114) with the  
modified signal (115) to create a noise estimation  
measure (116) comprise a power meter for measuring  
5 average power content of the signal (114) and the  
modified signal (115) over one or more common ranges  
(270; 271; 272) of their frequency spectra.

16. An apparatus according to claim 14 or 15,  
10 c h a r a c t e r i z e d in that  
the means (108) for modifying the amplitude of the signal  
(114) comprising the noise include means for attenuating  
the signal primarily outside (270, 272) the frequency  
range (271) of the desired part (240) of the signal  
15 (114).

17. An apparatus according to any one of claim 15 or 16,  
c h a r a c t e r i z e d in that  
the means (106) for combining the signal (114) with the  
20 modified signal (115) to create a noise estimation  
measure (116) comprise means for computing the difference  
in average power content (232, 252) between the signal  
(114) and the modified signal (115).

25 18. An apparatus according to any one of claims 14 to 17,  
c h a r a c t e r i z e d in that  
it is adapted to handle digital signals.

19. An apparatus according to claim 18,  
30 c h a r a c t e r i z e d in that  
the means (105) for attenuating the signal primarily  
outside (270, 272) the frequency range (271) of the  
desired part (240) of the signal comprise a digital  
filter.

35 20. An apparatus according to any one of claims 14 to 19,

c h a r a c t e r i z e d   i n   t h a t  
it includes means (106; 107) for storing consecutive  
values of the noise estimation measure, and means (106;  
107) for processing the values to extract a trend, and  
5 means (107) for communicating the individual values or  
the extracted trend or postprocessed versions thereof to  
a quality control system.

21. A mobile telephone,  
10 c h a r a c t e r i z e d   i n   t h a t  
it contains an apparatus, comprising means (106, 108) for  
performing the steps of claim 1, for estimating residual  
noise in the frequency range (271) of a desired part  
(240) of a signal (114), and means (106, 107) for  
15 storing, evaluating and transmitting resulting noise  
estimation measurements or postprocessed versions thereof  
(116, 117) to a link quality control system of a cellular  
radio system.

22. A mobile telephone according to claim 21,  
20 c h a r a c t e r i z e d   i n   t h a t  
it is adapted to perform a noise estimation measurement  
during each of the basic time units (i.e. time slot or  
burst) of a channel of the digital cellular radio system.

A method and an apparatus for estimating residual noise in a signal and an apparatus utilizing the method

Abstract:

The invention relates to: A method for estimating residual noise in the frequency range (271) of a desired part (240) of a signal to a corresponding apparatus and to a mobile telephone utilizing the method.

The object of the present invention is to generate a measure for the residual noise in a signal.

The problem is solved in that the amplitude of the signal (114) comprising the noise is modified, and the signal (114) is combined with the modified signal (115) to create a noise estimation measure (116).

The invention may e.g. be used in digital radio communications systems to contribute to the monitoring of link quality and therefore to improve payload throughput. One of the advantages of the invention is that an indication of link quality at an early stage in the receiver is provided.

(Figs. 2.a, 2.b, 2.c should be published)

004750 299960

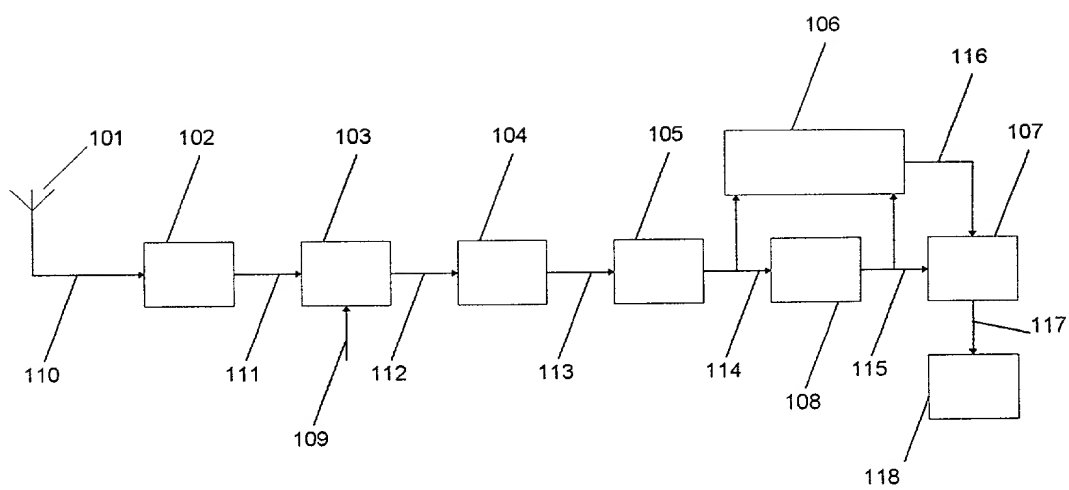
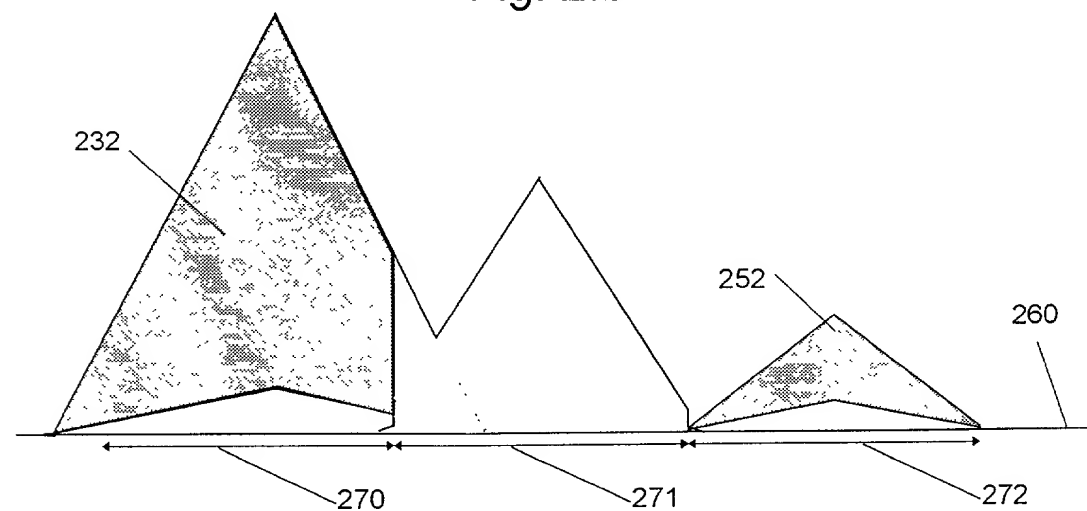
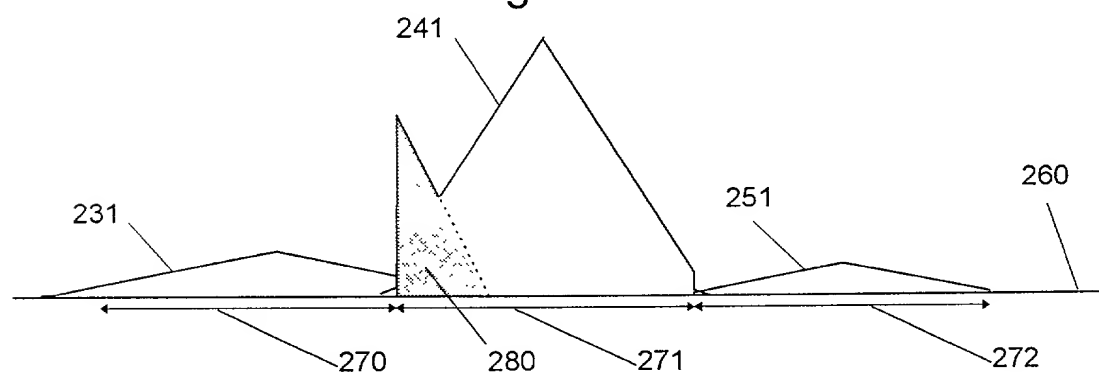
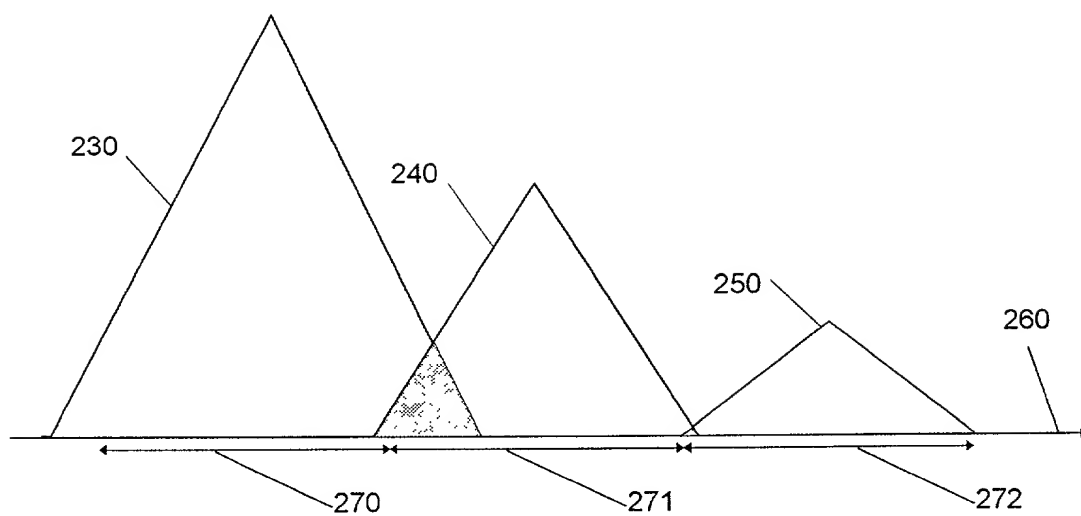


Fig. 1

004760 4/23/2020



004750 229950



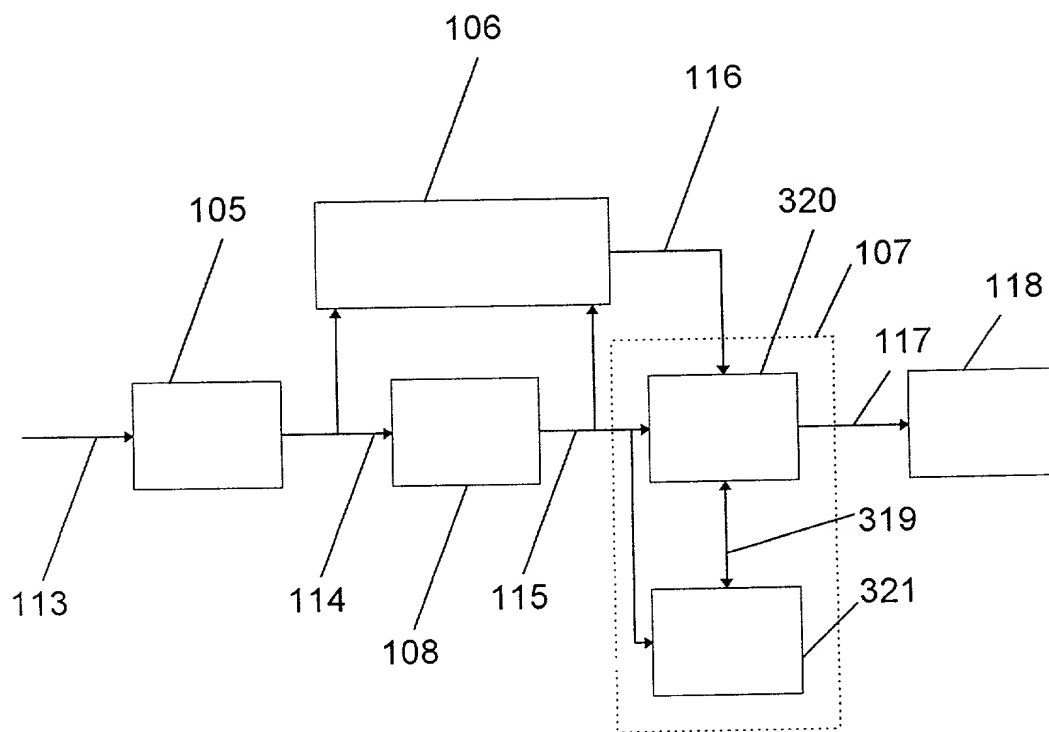


Fig. 3

**RULES 63 AND 67 (37 C.F.R. 1.63 and 1.67)**  
**DECLARATION AND POWER OF ATTORNEY**

FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **A METHOD AND AN APPARATUS FOR ESTIMATING RESIDUAL NOISE IN A SIGNAL AND AN APPARATUS UTILIZING THE METHOD**, the specification of which: (mark only one)

- ☒ (a) is attached hereto.  
☐ (b) was filed on herewith as Application Serial No. not assigned and was amended on \_\_\_\_\_ (if applicable)  
☐ (c) was filed as PCT International Application No. PCT/\_\_\_\_\_ on \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).  
☐ (d) was filed on \_\_ as Application Serial No. \_\_ and was issued a Notice of Allowance on \_\_\_\_\_.  
☐ (e) was filed on \_\_ and bearing attorney docket number 47253-12

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:

PRIOR FOREIGN PATENTS

<u>Number</u>	<u>Country</u>	<u>Month/Day/Year Filed</u>	<u>Date first laid- open or Published</u>	<u>Date patented or Granted</u>	<u>Priority Claimed</u> <u>Yes</u> <u>No</u>
99 610 054.1	EPO	Sept. 17. 1999			XX

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

PRIOR U.S. OR PCT APPLICATIONS

<u>Application No. (series code/serial no.)</u>	<u>Month/Day/Year Filed</u>	<u>Status(pending, abandoned, patented)</u>
---	-----------------------------	---

none

I hereby appoint:

TIMOTHY G. ACKERMANN, Reg. No. 44,493	GERALD H. GLANZMAN, Reg. No. 25,035	DANIEL G. NGUYEN, Reg. No. 42,933
THOMAS E. ANDERSON, Reg. No. 37,063	J. KEVIN GRAY, Reg. No. 37,141	SPENCER C. PATTERSON, Reg. No. 43,849
BENJAMIN J. BAI, Reg. No. 43,481	STEVEN R. GREENFIELD, Reg. No. 38,166	RUSSELL N. RIPPAMONTI, Reg. No. 39,521
MICHAEL J. BLANKSTEIN, Reg. No. 37,097	JOSHUA A. GRISWOLD, Reg. No. 46,310	ROSS T. ROBINSON, Reg. No. 47,031
MARY JO BOLDINGH, Reg. No. 34,713	J. PAT HEPTIG, Reg. No. 40,643	STEPHEN G. RUDISILL, Reg. No. 20,087
MARGARET A. BOULWARE, Reg. No. 28,708	SHARON A. ISRAEL, Reg. No. 41,867	HOLLY L. RUDNICK, Reg. No. 43,065
ARTHUR J. BRADY, Reg. No. 42,356	JOHN R. KIRK JR., Reg. No. 24,477	J.L. JENNIE SALAZAR, Reg. No. 45,065
MATTHEW O. BRADY, Reg. No. 44,554	PAUL R. KITCH, Reg. No. 38,206	KEITH W. SAUNDERS, Reg. No. 41,462
DANIEL J. BURNHAM, Reg. No. 39,618	TIMOTHY M. KOWALSKI, Reg. No. 44,192	JERRY R. SELINGER, Reg. No. 26,582
THOMAS L. CANTRELL, Reg. No. 20,849	JAMES F. LEA III, Reg. No. 41,143	GARY B. SOLOMON, Reg. No. 44,347
RONALD B. COOLLEY, Reg. No. 27,187	HSIN-WEI LUANG, Reg. No. 44,213	WAYNE O. STACY, Reg. No. 45,125
THOMAS L. CRISMAN, Reg. No. 24,846	ROBERT W. MASON, Reg. No. 42,848	STEVE Z. SZCZEPANSKI, Reg. No. 27,957
STUART D. DWORK, Reg. No. 31,103	ROGER L. MAXWELL, Reg. No. 31,855	ANDRE M. SZUWALSKI, Reg. No. 35,701
WILLIAM F. ESSER, Reg. No. 38,053	ROBERT A. McFALL, Reg. No. 28,968	ALAN R. THIELE, Reg. No. 30,694
ROGER J. FRENCH, Reg. No. 27,786	STEVEN T. McDONALD, Reg. No. 45,999	TAMSEN VALOIR, Reg. No. 41,417
JANET M. GARETTO, Reg. No. 42,568	LISA H. MEYERHOFF, Reg. No. 36,869	RAYMOND VAN DYKE, Reg. No. 34,746
JOHN C. GATZ, Reg. No. 41,774	STANLEY R. MOORE, Reg. No. 26,958	BRIAN D. WALKER, Reg. No. 37,751
RUSSELL J. GENET, Reg. No. 42,571	RICHARD J. MOURA, Reg. No. 34,883	GERALD T. WELCH, Reg. No. 30,332
	MARK V. MULLER, Reg. No. 37,509	HAROLD N. WELLS, Reg. No. 26,044
	P. WESTON MUSSELMAN JR. Reg. No. 31,644	WILLIAM D. WIESE, Reg. No. 45,217

all of the firm of **JENKENS & GILCHRIST, a Professional Corporation**, 1445 Ross Avenue, Suite 3200, Dallas, Texas 75202-2799, as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application, provisionals thereof, continuations, continuations-in-part, divisionals, appeals, reissues, substitutions, and extensions thereof and to transact all business in the United States Patent and Trademark Office connected therewith, to appoint any individuals under an associate power of attorney and to file and prosecute any international patent application filed thereon before any international authorities, and I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct them in writing to the contrary.

Please address all correspondence and direct all telephone calls to:

Richard J. Moura, Esq.  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799  
214/855-4500  
214/855-4300 (fax)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAMED INVENTOR(S)

1	Anders KHULLAR		
	<b>Full Name</b>	<b>Inventor's Signature</b>	<b>Date</b>
	Trastvägen 20 S-237 37 Bjärred, Sweden		
	<b>Residence</b> (city, state, country)	Swedish <b>Citizenship</b>	
	Trastvägen 20 S-237 37 Bjärred, Sweden <b>Post Office Address</b> (include zip code)		

(FOR ADDITIONAL INVENTORS, check here X and add additional sheet for inventor information regarding signature, name, date, citizenship, residence and address)

2	Bengt LINDOFF		
	<b>Full Name</b>	<b>Inventor's Signature</b>	<b>Date</b>
	Klarinettgränden 3B S-224 68 Lund, Sweden <b>Residence</b> (city, state, country) <div style="text-align: right;">                     Swedish  <b>Citizenship</b> </div>		
Klarinettgränden 3B S-224 68 Lund, Sweden <b>Post Office Address</b> (include zip code)			

3	Niklas STENSTRÖM		
	<b>Full Name</b>	<b>Inventor's Signature</b>	<b>Date</b>
	Fågelsångsgatan 27 S-252 20 Helsingborg, Sweden <b>Residence</b> (city, state, country) <div style="text-align: right;">                     Swedish  <b>Citizenship</b> </div>		
Fågelsångsgatan 27 S-252 20 Helsingborg, Sweden <b>Post Office Address</b> (include zip code)			